



DirectChoice Client Fee Schedule

Required Account Fees – Pershing & NFS

Annual Maintenance Fee (IRA)	\$0
Annual Inactivity Fee (non IRA)	\$0
Participating Fund Buy / Sell	\$0
Participating Fund Systematic / Exchange	\$0
Paper Trade Confirm Mailing	\$0
Paper Statement Mailing	\$0
Prospectus Mail	\$0
Termination of DirectChoice Account (non-IRA termination/non-IRA outgoing transfer—ACAT)	\$0 / \$150
Termination of DirectChoice Account (IRA termination) (for Pershing/NFS)	\$95 / \$125

Mutual Fund Sponsors – Pershing & NFS

AB	Invesco	Mainstay	Victory Capital
American Funds	John Hancock	Pacific Life	Virtus
Amundi Pioneer	Ladenburg	Principal	
Fidelity	Legg Mason	Putnam	
Franklin Templeton	Lord Abbett	Thrivent	

Other fees could apply based on account activity and optional features. Please refer to the full Client Brokerage Fee Disclosure listed within the Disclosures section of the firm's public website.