



Fully-bundled solution.

ONE PLATFORM, CLEAR ACCOUNTABILITY

401GO delivers end-to-end ownership of your 401(k)—so there are fewer handoffs, less complexity, and a smoother experience for you and your employees. Our integrated approach brings recordkeeping, administration, compliance, and investment management together in one platform.

Recordkeeping

- Participant record maintenance
- Contribution tracking
- Payroll integration
- Loans, distribution, rollovers
- Reporting and account access

TPA

- Plan setup and document services
- Required notices
- Compliance testing
- Form 5500 prep, sign and file
- Profit-sharing and year-end calculations

Asset Custody

- Assets held with Matrix Trust (a subsidiary of Broadridge Financial)
- Trade execution and asset protection
- Investment rebalancing
- 1099 services

Fiduciary services included.

Our built-in 3(16) administration services help keep your plan running smoothly and aligned with ERISA requirements.

Our 3(38) investment fiduciary services provide a strong, monitored lineup of funds. We also support outside 3(38) fiduciaries.

- ✓ Use our built-in 3(38) services
- ✓ Build your own custom lineups and models
- ✓ Use Mesirow, a 3(38) partner

Are you ready for a simpler 401(k) experience?

See how a fully-owned technology platform can reduce handoffs, lighten admin work, and improve the participant experience.

Schedule a demo call with 401GO.

