The benfits of a Pershing-to-Pershing conversion

Osaic Instituions

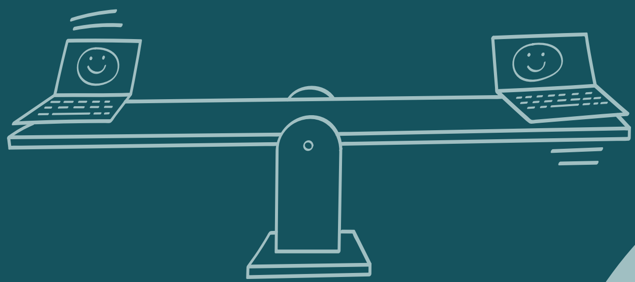
Efficiency and peace of mind for you and your clients.

Osaic is Pershing’s largest customer, and Osaic Institutions knows which critical steps are needed to deliver Pershing-to-Pershing transfers that save you and your clients unnecessary paperwork and disruption. Our conversion team only works on bank and credit union implementations. We are ready to make your broker-dealer transition as efficient and stress-free as possible.

Osaic Institutions and Pershing can deliver a negative consent conversion, eliminating the hassles of repapering most accounts.*

A Pershing-to-Pershing conversion reduces conversion delays and missing account information. It also saves you and your clients from having to:

- Repaper all accounts via positive consent.
- Coordinate a complicated signature process.
- Learn the procedures, systems, and paperwork of a new clearing firm.
- Distribute and train clients on a new online access system.
- Troubleshoot data mismatches and data errors.



Documents that will automatically convert:

- Adoption Agreement
- 5305 SEP
- 5304 SIMPLE
- Beneficiary designation
- Qualified Retirement Plan Agreement
- SEP Prototype ADOP Agreement
- Transfer on Death (INDV)
- Transfer on Death (Joint)
- Corporate Resolution
- Power of Attorney
- Investment Club
- Joint agreement
- Sole Proprietorship
- Trustee Certification
- LLC Agreement
- Partnership agreement
- ACH Agreement
- Limited Partnership
- Non-Corporate resolution
- Corestone application
- Resource checking application

Converted information

- Account demographic details
- Positions and balances
- Dividend reinvestment instructions
- Money market sweep instructions
- Imaged documents
- Notebook messages
- Households/groups
- Standing asset movement instructions
- Periodic Asset movement instructions
- Periodic Order instructions
- RMDs/RMD calculation
- Cost basis
- Client online access and eDelivery preferences

Want to learn more?

- **Visit us online: osaic.com/institutions**
- **Contact an Osaic Institutions New Business Development Officer:**

W. Jere Colcer
SVP Business Development
jere.colcer@osaic.com
(704) 650-7692

Troy Tannenholz
VP Business Development
troy.tannenholz@osaic.com
(860) 639-7758

Lawson Joyce
SVP Business Development
lawson.joyce@osaic.com
(704) 315-8318

*Defined documents and data subject to change given Pershing's requirements and contra firm cooperation.

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