



## Nina McKenna

### General Counsel

Nina McKenna is Chief Legal Officer and General Counsel at Osaic Wealth, the nation's largest network of independent wealth management firms, overseeing approximately \$705 billion in client assets.

#### Career Summary

Prior to joining Osaic Wealth, Nina was with Cetera Financial Group, where she served as Chief Legal Officer and General Counsel for its network of six independent retail broker-dealer firms. Earlier roles included Chief Counsel at ING Americas – Broker-Dealer Group; Counsel at the firm of Sonnenschein, Nath & Rosenthal in its Corporate and Securities Group; Regional Chief Counsel for NASD Regulation, Inc., (FINRA) in its District 4 Enforcement Department; and General Counsel and Corporate Secretary for Oppenheimer Industries, Inc.

Nina earned a Bachelor of Arts degree in Philosophy at Williams College in Massachusetts and earned First Honors at the London School of Economics. She also attended the University of Toronto Law School and earned her Juris Doctor (JD) degree from the University of Kansas Law School. She has participated as a panelist and speaker at numerous regulatory and compliance conferences.

Securities and investment advisory services are offered through the firms: Osaic Wealth, Inc. and Osaic Institutions, Inc., broker-dealers, registered investment advisers, and members of FINRA and SIPC. Securities are offered through Ladenburg Thalmann & Co., broker-dealer and member of FINRA and SIPC. Advisory services are offered through Ladenburg Thalmann Asset Management, Inc., and Osaic Advisory Services, LLC., registered investment advisers. Advisory programs offered by Osaic Wealth, Inc. are sponsored by VISION2020 Wealth Management Corp., an affiliated registered investment adviser.