



Request for proposal

Employer Plan Consulting

Osaic Employer Plan Consulting is dedicated to helping you find an appropriate provider to fulfill you and your client's needs. Please answer the questions below to the best of your ability to get an accurate quote. We are available for any questions you may have regarding this form or your opportunity.

Advisor information	
Your name and BD affiliation	City, State
Are you the current advisor for the plan? <input type="checkbox"/> Yes <input type="checkbox"/> No	Is this a startup plan? <input type="checkbox"/> Yes <input type="checkbox"/> No

Plan information				
Plan name		Plan City and State		
Current recordkeeper (if startup leave blank)	Plan type		Plan AUM (if startup, put estimated year 1 inflow)	
Participants (with balance)		Compensation type		
Active with balances	Eligible	Fee-based (flat fee of %)	OR	Commission amount
Do you want to select your own TPA? (if no we will quote bundled) <input type="checkbox"/> Yes <input type="checkbox"/> No				

Attach the 408(b)2 and/or annual fee disclosure of the current plan (if applicable) to your email request. The plan sponsor can provide this from the RecordKeeper's online portal or the Financial Profession can download, if on the current plan.

Investment management				
Investment management of an Employer Plan requires a prudent monitoring process, a well-constructed Investment Policy Statement, and applicable software. This function is commonly outsourced to help reduce your fiduciary liability.				
Please quote the plan with:				
<input type="checkbox"/> A 3(21) co-investment fiduciary	<input type="checkbox"/> An outsourced 3(38) discretionary Investment Manager	<input type="checkbox"/> Neither		
How sensitive is the employer to fees?		<input type="checkbox"/> Very	<input type="checkbox"/> Somewhat	<input type="checkbox"/> Not important
How important is the flexibility of investment options?		<input type="checkbox"/> Very	<input type="checkbox"/> Somewhat	<input type="checkbox"/> Not important

Tell us more about the opportunity, such as employer goals, pain points, or specific service requests. How can we help you win or retain the business? What other important info might be relevant to the plan?

For email links to Non-Strategic Partner Approved Providers please click here [\(55\) RFP Contacts Dashboard](#)