



# ThinkAdvisor Luminaries

## Chief Investment Officer of the Year

The Chief Investment Officer of the Year Luminaries Awards 2025 issued by Think Advisor on 11/25/2025 for the 2024 year honors chief investment officers in the financial services industry. The executive was nominated for the recognition. A panel of independent judges selects (the awardee/finalist) and honors chief investment officers who have gone above and beyond in leading a firm that has recently demonstrated strong client service, innovation (in programs, products and/or services) or other success, which includes work on growing results as measured by revenues, client assets, advisor headcount, etc. No fee was paid for the nomination or receipt of the award. Third-party ratings are no guarantee of future investment success and do not ensure that a client or prospective client will experience a higher level of performance or results. These ratings should not be construed as an endorsement of the firm by any client nor are they representative of any one client's evaluation.

## Executive Leadership

Osaic was nominated for the ThinkAdvisor Luminary Award - 2025 in the Executive Leadership category. This award acknowledges those leading and guiding key decisions, including strategy, product development, hiring, the use of technology and more, in successful, innovative, and dynamic ways. It recognizes those making the decisions that matter most in this fast-changing environment and that support bold strategies producing both quantitative and qualitative results for their practices, teams, firms and the broad financial services community. For more information regarding this award, please visit <https://event.thinkadvisor.com/luminaries-awards/>. Third-party rankings and recognitions are no guarantee of future investment success and do not ensure that a client or prospective client will experience a higher level of performance or results. These ratings should not be construed as an endorsement of the advisor by any client nor are they representative of any one client's evaluation.

## Industry Disruption

Osaic was nominated for the ThinkAdvisor Luminary Award - 2025 in the Industry Disruption category. This award honors firms that have gone above and beyond by recently introducing a highly disruptive program, product, service or other type of success. The firms need to explain how their idea or inventive approach disrupts an existing market or create an entire new market segment by moving into an underserved client base or introducing a radically new technology and business/pricing model. The disruptions also should serve as a model of how firms can build a new market or drastically overturns an existing industry, business or market segment - or adds a new market segment to the industry - by producing both qualitative and quantitative results. For more information regarding this award, please visit <https://event.thinkadvisor.com/luminaries-awards/>. Third-party rankings and recognitions are no guarantee of future investment success and do not ensure that a client or prospective client will experience a higher level of performance or results. These ratings should not be construed as an endorsement of the advisor by any client nor are they representative of any one client's evaluation.

Securities and investment advisory services are offered through the firms: Osaic Wealth, Inc. and Osaic Institutions, Inc., broker-dealers, registered investment advisers, and members of FINRA and SIPC. Securities are offered through Osaic Services, Inc. and Ladenburg Thalmann & Co., broker-dealers and members of FINRA and SIPC. Advisory services are offered through Ladenburg Thalmann Asset Management, Inc., Osaic Advisory Services, LLC. and CW Advisors, LLC., registered investment advisers. Advisory programs offered by Osaic Wealth, Inc. are sponsored by VISION2020 Wealth Management Corp., an affiliated registered investment adviser.



## Thought Leadership & Education

Osaic was nominated for the ThinkAdvisor Luminary Award - 2025 in the Thought Leadership & Education category. This award honors firms that have gone above and beyond in leading a firm that has introduced a new approach or framework to their segment of financial services, which address clients (or the broader market for advice) in a novel way via insights that can, ideally, be shown to have both a qualitative and quantitative impact - and can serve as a model of industry excellence and achievement. Examples include - but are not limited to - podcasts, webcasts, social media campaigns and white papers. For more information regarding this award, please visit <https://event.thinkadvisor.com/luniminaries-awards/>. Third-party rankings and recognitions are no guarantee of future investment success and do not ensure that a client or prospective client will experience a higher level of performance or results. These ratings should not be construed as an endorsement of the advisor by any client nor are they representative of any one client's evaluation.

Securities and investment advisory services are offered through the firms: Osaic Wealth, Inc. and Osaic Institutions, Inc., broker-dealers, registered investment advisers, and members of FINRA and SIPC. Securities are offered through Osaic Services, Inc. and Ladenburg Thalmann & Co., broker-dealers and members of FINRA and SIPC. Advisory services are offered through Ladenburg Thalmann Asset Management, Inc., Osaic Advisory Services, LLC. and CW Advisors, LLC., registered investment advisers. Advisory programs offered by Osaic Wealth, Inc. are sponsored by VISION2020 Wealth Management Corp., an affiliated registered investment adviser.