



# Modern retirement partnerships.

HELP YOUR CLIENTS WIN



## 401GO is built differently.

Retirement plans shouldn't slow you down. 401GO helps advisors deliver competitive, scalable retirement solutions while reducing manual work and operational friction.



### Eliminate manual work.

401GO's automated workflows replace many manual tasks, freeing up time for higher-value client conversations.



### Deliver consistent client experiences.

Standardized processes and centralized data create cleaner onboarding, smoother administration, and more professional interactions.



### Scale without adding complexity.

Serve more plans and participants without increasing operational burden or juggling disconnected systems.

## Product Suite

### 401(k)

Designed to work for companies of all sizes.

#### Safe Harbor 401(k)

An ideal option for small businesses.

#### Profit-Sharing 401(k)

Tax-advantaged savings with contribution flexibility.

### Solo 401(k)

For owner-operators and their spouses.

#### Starter 401(k)

A simplified plan type for very small companies.

#### Cash Balance

A defined benefit plan that pairs well with a 401(k).

### PEP

A pooled employer plan for audit-sized businesses.

#### Syndicate™

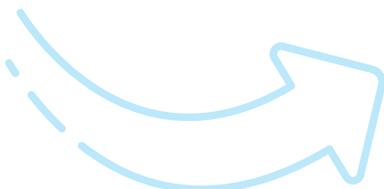
A group plan with flexibility for businesses of all sizes.

#### ERISA 403(b)

Similar to a 401(k), but created for non-profits.

### IRA

Individual accounts allow for frictionless force-outs.



Behind every plan we offer is a fully owned, vertically integrated technology platform. Because we control the infrastructure end to end, advisors experience fewer surprises, cleaner execution, and a system that scales as their book grows.

### Fully-Owned Technology

Our platform is built on a fully owned, vertically integrated technology stack, not layered onto legacy recordkeeping engines or stitched together from third-party systems.

Because we control the full infrastructure, data flows through a single, unified system.

- ✓ Fewer breakpoints
- ✓ Fewer operational surprises
- ✓ Minimal manual intervention
- ✓ Reliable payroll integration
- ✓ A more predictable experience

In an environment where many providers still rely on aging infrastructure, ownership of the full technology stack allows us to iterate faster, maintain system stability, and deliver a modern experience without the limitations of legacy recordkeeping dependencies.

### Engineered for the Hardest Segment

Small plans are often the hardest to administer efficiently. Our system was built to handle that complexity from day one.

If it works at scale in the small-plan market, it transitions upward with ease — but the reverse is rarely true.

### Advisor-Led Plans

Our platform is designed to support advisors, not compete with them. We operate in a way that reinforces your role as the primary strategic relationship holder while providing the infrastructure behind the scenes.

Advisors benefit from:

- ✓ Tools that enable independence rather than reliance on hand-holding
- ✓ Automation that reduces ongoing administrative tasks
- ✓ A support model that facilitates good client relationships

We automate everything but relationships.



401GO provides industry-leading, live support to you, your clients, and participants.

