

Business Continuity Planning

Osaic Holdings, Inc. (“Osaic”) headquartered in Scottsdale, AZ is the parent company of, among others, Osaic FA, Inc. (“OFA”) and Osaic FS, Inc. (“OFS”). OFA and OFS are committed to safeguarding the interests of their respective clients and customers in the event of an emergency or significant business disruption (“SBD”). With the support of Osaic Enterprise Risk Management, OFA and OFS will leverage Osaic’s broad business continuity strategy, which is designed to maximize operational resilience and enable OFA and OFS to meet their obligations in the event of an emergency or SBD by safeguarding employees and property, making a financial and operational assessment, recovering and resuming operations, protecting OFA’s and OFS’s books and records, and allowing customers to conduct business. A summary of Osaic’s Business Continuity Plan is available at <https://assets.osaic.com/m/5d9ee701d7ae31f8/original/Business-Continuity-Plan.pdf>.

You are encouraged to thoroughly review Osaic's Business Continuity Plan and direct any questions that you may have to your OFA or OFS financial professional. In the event that you are unable to contact your OFA or OFS financial professional during an emergency or SBD, please contact OFA or OFS by telephone at 1-800-237-3813, or visit OFA or OFS online at <https://osaic.com/fa> or <https://osaic.com/fs>, respectively. If you are unable to contact OFA or OFS during an emergency or SBD, and your account is carried by National Financial Services LLC (“NFS”), please contact NFS by telephone at 1-800-801-9942.