





Southwest Region includes the following states:
AZ, CA, CO, HI, NE, NM, NV, OK, TX, UT

Grant Recipients are listed below in alpha order of their state

We salute the following financial professionals for volunteer work in their local community! Those listed below applied for grant to a qualifying charity from the Osaic Foundation during its 2023 funding cycle in Q42023. Applications were evaluated by a committee of advisors, employees and members of the Foundation Board. Grants were awarded on the basis of factors including the level of sustained, hands-on volunteerism by the applicant and the overall purpose, outcomes and mission of the charity.

| Nominator | Charity | Website |
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|  <p>Todd Desberg Osaic Wealth Arizona</p> | <p>Single Track Arizona Riders Foundation</p> <p><i>Todd is a founding member of the non-profit and has spent the past five years serving as board secretary and certified NICA Level 3 Head coach for student athletes. He has been certified with concussion training, NICA Philosophy, Safety, and Risk Management, Athlete Abuse Training, License level 1, level 2, and level 3 coach courses, Certified First Aide Level 2, and OTB Skills 101 Outdoor and Classroom training. The non-profit supports Arizona's National Interscholastic Cycling Association (NICA) scholar- athletes by actively engaging students grades 6 – 12 in the Arizona NICA chapter for mountain bike racing and riding. This civic association non-profit received a grant of \$625.</i></p> |  |
|  <p>Todd Desberg Osaic Wealth Arizona</p> | <p>Tucson-HABBJACH Dollars for Scholars</p> <p><i>Todd has dedicated 25 years to the non-profit and has served as an endowment chair, chapter president, board vice-president, treasurer, and secretary. He currently serves as the Tucson-HABBJACH Dollars for Scholars Chapter's Certified Financial Fiduciary. The non-profit helps local high school students attend the University of Arizona with scholarships.</i></p> <p>This education non-profit received a grant of \$625.</p> |  |

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|  <p>Marcia Bice Osaic Wealth California</p> | <p>Almost Free To All, Inc. (Branches at the Carnegie)</p> <p><i>Marcia has served as an executive board member for the non-profit for two years. The non-profit provides the community affordable rental spaces for the arts, cultural activities, events, celebrations, meetings, workshops, trainings, and more. They serve Auburn, CA and the surrounding communities.</i></p> <p>This civic association non-profit received a grant of \$1,000.</p> |  |
|  <p>Jay Klopfenstein LifePlans Financial and Insurance Services, Inc./Osaic Wealth California</p> | <p>Carlsbad Community Gardens Collaborative</p> <p><i>Jay founded the non-profit thirteen years ago and has served as president since. The organization serves the community of Carlsbad with three community gardens, where over 100 residents can grow and share produce with the community. Local elementary schools visit on field trips where they experience nature journaling scavenger hunts.</i></p> <p>This civic association non-profit received a grant of \$1,500.</p> |  |
|  <p>Tara Perrin-Preus Osaic Wealth California</p> | <p>Deb's Claws and Paws Rescue</p> <p><i>Tara and her husband have spent three years fostering animals for the non-profit, which involves 1-2 months of 24-hour care for each animal. The non-profit works closely with animal shelters in the surrounding counties to help place adoptable cats and dogs when referred. They offer temporary foster homes and help homeless displaced and abandoned cats and dogs have a better outcome while offering low cost spay and neutering and transport to appointments. They help alleviate terminal kill shelter overflow by helping as much as they can afford to.</i></p> <p>This civic association non-profit received a grant of \$500.</p> |  |
|  <p>Michael Wegge Independent Capital Management/Osaic Wealth California</p> | <p>Family Rescue Center</p> <p><i>Michael has volunteered with the non-profit every month for the past six years. The non-profit helps the homeless and underserved families thrive by providing food, clothing, medical assistance, and vocational training scholarships to encourage independence.</i></p> <p>This health and human services non-profit received a grant of \$825.</p> |  |

| Nominator | Charity | Website |
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|  <p>Grant Hartwell Hartwell Financial Services/Osaic Wealth California</p> | <p>Free Arts for Abused Children</p> <p><i>Grant began his volunteering with the non-profit 10 years ago, starting as a volunteer mentor teaching weekly art classes for six years, then he joined the board of directors in 2020 and became the chair of the board in 2022. The non-profit engages foster children and teens ages 5 – 18 in therapeutic art education that facilitates their recovery from trauma and abuse. The education is delivered by teaching artists using a curriculum created by an art therapist, child psychologist, and licensed clinical social worker. They also collaborate with other non-profits who offer temporary or permanent supportive housing, domestic violence shelter, foster care, community and family support or programs under the Department of Children and Family Services.</i></p> <p>This health and human services non-profit received a grant of \$2,500.</p> |  |
|  <p>Geoffrey Aden New Aspect Financial Services/ Osaic Wealth California</p> | <p>Heartfelt Help Foundation</p> <p><i>Geoffrey has volunteered at the non-profit for two years in any capacity the organization needs, including setting up events and advising on fund raising. The non-profit helps financially challenged heart transplant patients in Northern California pay for medically required, transplant-related expenses not covered by insurance. This allows patients to quickly qualify for life-saving surgeries without crippling debt and providing near-hospital housing for recovery.</i></p> <p>This health and human services non-profit received a grant of \$500.</p> |  |
|  <p>David Jakl Neilan & Associates/Woodbury Financial California</p> | <p>Manta Ray Parents Association</p> <p><i>David has been involved with the non-profit for 25 years, receiving services when he was a child. He now spends 20 hours a week directly serving the members of the association. The goal of the non-profit is to provide an environment where athletes can have fun and learn safety standards while making an uncompromising commitment to the pursuit of excellence in swimming. Athletes of all ages are taught the technical skills of swimming.</i></p> <p>This civic association non-profit received a grant of \$1,000.</p> |  |
|  <p>Daryl Cole Cole & Company, Inc./Osaic Wealth California</p> | <p>Mercy House Living Centers</p> <p><i>Daryl has volunteered with the non-profit for 15 years and sits on the board of directors and serves as the fundraising committee chair. He provides support during events and garner support for the organization by engaging with local churches, community groups, schools, and companies. The non-profit exists to be a leader in ending homelessness by providing a unique system of dignified housing opportunities, programs, and support services.</i></p> <p>This health and human services association non-profit received a grant of \$7,500.</p> |  |

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|  <p>Peter Smegielski Securities America California</p> | <p>Oak Valley Music Boosters</p> <p><i>Peter began volunteering two years ago with the non-profit when his eldest daughter became active in the program, volunteering at concerts, field trips, and fundraising. The non-profit provides moral, financial, and volunteer support to the ward-winning band, orchestra, and choir programs at Oak Valley Middle School in San Diego, CA. In collaboration with the directors, they encourage parent, teacher, administrator, and public support to develop and inspire student interest in all of their music programs.</i></p> <p>This cultural non-profit received a grant of \$500.</p> |  <p>Oak Valley Music Boosters</p> |
|  <p>James Losey Sr.</p>  <p>James Losey Wealthcare Alliance/Osaic Wealth California</p> | <p>Old Boney Mountain Foundation for Kids</p> <p><i>Both James Sr. and James Jr. sit on the board of directors and have volunteered with the non-profit for seven years. The non-profit services the underprivileged youth in the community and invests in the future of those who are striving to overcome hardship and become inspired adults. They believe that the greater good is achieved when all are empowered by encouraging partnerships so all feel welcomed and valued for their contributions, and encourage an environment of flowing thoughts and ideas where everyone feels comfortable sharing.</i></p> <p>This health and human services non-profit received a grant of \$3,000.</p> |  |
|  <p>Billie Cook Financial Focus LLC/Securities America California</p> | <p>One ATTA Time</p> <p><i>Billie has volunteered with the non-profit for four years and helps fundraise, connecting with other organizations looking to educate communities on clean water applications, and distribution events. The non-profit unites people together to provide clean water and helps provide disaster areas within the United States, Central America, South America, and Southeast Asia.</i></p> <p>This health and human services non-profit received a grant of \$825.</p> |  |
|  <p>Jason Vitucci Vitucci Integrated Planning/Osaic Wealth California</p> | <p>Party In Kindness</p> <p><i>Jason has volunteered with the non-profit for two years and helps with the annual holiday donation drive to support local charities in need. The non-profit helps people turn any occasion into a reason to give, making even the smallest act of kindness impactful. They encourage hosts to include a giving component to their event by asking guests to bring in-kind or monetary donations to support local charities in the East Bay. They have distributed over \$160,000 in monetary donations which provides food for families, funded suicide prevention hotlines and to other worthy causes.</i></p> <p>This health and human services non-profit received a grant of \$625.</p> |  |

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|  <p>Jim Gilmore Integrated Capital Management/Securities America California</p> | <p>Providence St. Joseph Hospital Foundation</p> <p><i>Jim has volunteered over 50 hours a year for 20 years with the non-profit, serving as an Emeritus Board Member, board development committee, finance committee, advocate, and ambassador. The non-profit strives to uphold the core values of dignity, services, excellence, and justice as they provide care for the whole person—mind, body, and spirit, and collaborate with medical staff to increase access to quality care.</i></p> <p>This health and human services non-profit received a grant of \$1,000.</p> |  |
|  <p>Christine Smith Georgetown Lawley Group/Osaic Wealth California</p> | <p>Romans 828 - Living In Purpose</p> <p>Christine has volunteered with the non-profit for three years and co-hosting Pink Pamper Parties and assisting with events. The non-profit supports breast cancer survivors by providing post-mastectomy products to non-insured and under-insured women. They strive to give these women the confidence and resources they need to embrace their new chapter and live purposefully.</p> <p>This health and human services non-profit received a grant of \$1,500.</p> |  |
|  <p>Wornel Simpson Osaic Wealth California</p> | <p>Scholar Athletes Globally Emerging, Inc.</p> <p>Wornel has volunteered with the non-profit for 14 years and helps with overall planning, educational content, logistics, developing standards, and coaching. The non-profit is committed to improving the lives of Sacramento-area youth through promoting academic excellence as well as health and wellness. They create an educational experience embodying the principles of sound mind and sound body by incorporating academic and social accountability with organized sports.</p> <p>This education non-profit received a grant of \$2,000.</p> |  |
|  <p>Kathleen Jucar Monarch Wealth/Osaic Wealth California</p> | <p>Soaring Spirits</p> <p>Kathleen has volunteered with the non-profit for four years at Camp Widow by setting up the bookstore, supporting rooms during the conference, being a room monitor for time management, and assists with banquet night. The non-profit connects widowed people with each other by creating a community of those who "get it." The unique program proves that widowed people from all walks of life are not alone and their innovative, life-affirming events, groups, and virtual programs provide vital access to hope for widowed people everywhere; hope for the moment, as well as hope for the future.</p> <p>This health and human services non-profit received a grant of \$1,500.</p> |  |





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|  <p>David Lumiqued Independent Capital Management, Inc./Osaic Wealth California</p> | <p>SOFA-The Society for Financial Awareness</p> <p>David has volunteered up to 20 hours a month for 16 years with the non-profit, serving as a speaker, promoter, event coordinator, and educator for financial literacy at businesses, schools, and libraries in the local community. The non-profit seeks to provide financial literacy to all, one community at a time by teaching topics like you and your credit score, first time home buying, legal lessons for life, college planning, women and investing, and more.</p> <p>This education non-profit received a grant of \$1,500.</p> |  |
|  <p>Luciana Macedo CURO Financial & Insurance Solutions/Osaic Wealth California</p> | <p>The Animal Pad</p> <p>Luciana has volunteered with the non-profit for two years by fostering dogs in her home, as well as coordinating fostering with others in their homes. She is also a care team member and feeds, cleans, walks, and plays with the dog at the facility. The non-profit forms a safe space for dogs experiencing crisis and neglect, and builds a community of compassion through education, rescue, rehabilitation, and adoption. The community of volunteers and fosters strive to ensure that all dogs get a fitting chance and believe that no case is too extreme to be helped.</p> <p>This civic association non-profit received a grant of \$1,000.</p> |  |
|  <p>Steven Barrus Advisory Group for Life/Osaic Wealth California</p> | <p>Young Investors Society</p> <p>Steven has volunteered with the non-profit for six years and serves as an executive board member, as well as assisting students one on one in their financial literacy. The non-profit provides quality financial literacy education and programs to students from all backgrounds and socio-economic levels. They aim to teach finance and investing skills that will last a lifetime and empower students to take control of their financial future. The non-profit focuses on high school aged youth with an emphasis on at-risk students who live in impoverished or underserved communities to give them financial independence to break the cycle of generational poverty.</p> <p>This education non-profit received a grant of \$1,500.</p> |  |
|  <p>Tara Perrin-Preus Osaic Wealth California</p> | <p>Yuba City Charter School</p> <p><i>Tara has volunteered with the non-profit for five years and serves as a board member to help the school with their operations and offer financial advice. The non-profit equips urban and rural students between the ages of 5 and 18 in Sutter/Yuba County with two kinds of literacy necessary in the 21st century: the ability to read, write, speak, and calculate with clarity and precision, and the ability to participate responsibly in the life of the community. They provide high academics, offer firm discipline with a safe and controlled environment, emphasize a charter-based curriculum, facilitate home school parents in providing high academic education and implement community service for all students.</i></p> <p>This education non-profit received a grant of \$625.</p> |  |

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|  <p>Ronald Nicholson Osaic Wealth California</p> | <p>Carmel Host Lions Club Foundation</p> <p><i>Ronald has volunteered with the non-profit for 44 years delivering meals to homebound seniors, volunteering at local high school basketball games, and other fundraising events. The non-profit supports youth, seniors, and visually impaired members of the community.</i></p> <p>This civic association non-profit received a grant of \$1,500.</p> |  |
|  <p>Karen Codman Osaic Wealth California</p> | <p>Long Beach City College Foundation</p> <p><i>Karen has served as president for five years and continues to volunteer with the non-profit for a total of eight years of service as the chair of strategic planning committee and board member, as well as board of governor's member for the LBCC foundation. The non-profit raises funds to support the college's outstanding and evolving learning environment. They raise additional funds to help provide shelter and food security to as many of their homeless student population as possible, so they can successfully complete their college degree/certification necessary to enter a career and become stable, successful citizens.</i></p> <p>This health and human services non-profit received a grant of \$625.</p> |  |
|  <p>Ruth (Robbie) O'Brien Triad Advisors Colorado</p> | <p>The Children's Advocacy Center of the Pikes Peak Region (DBA Safe Passage)</p> <p><i>Ruth has volunteered with the non-profit for four years and serves as the co-chair for the annual fundraising community luncheon and assists the director with event planning. The non-profit gives abused children a voice and enables the healing process by acting as the single source of contact for medical, investigative, and legal service. Children who are victims of sexual/physical abuse, neglect, or who have witnessed domestic violence, homicide, or other violent crimes, come to the non-profit for critical forensic and healing services, as well as the non-offending caregivers of the victims.</i></p> <p>This health and human services non-profit received a grant of \$1,000.</p> |  |
|  <p>Kristen Kratochvil Lifetime Retirement Partners/Securities America Nebraska</p> | <p>Gabby Krause Foundation</p> <p><i>Kristen has volunteered with the non-profit for four years and serves on the board and recently became the treasurer. She wears a lot of hats due to the small size of the organization. The non-profit delivers a bag of fun to every child fighting a long-term or life-threatening condition. They exist to bring joy, laughter, and relief to every child whose health and happiness is compromised.</i></p> <p>This health and human services non-profit received a grant of \$2,500.</p> |  |

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|  <p>Jay Wallinger Wallinger Financial Services/Securities America Nebraska</p> | <p>Nebraska Community Foundation</p> <p><i>Jay has volunteered with the non-profit since its beginning 23 years ago and has served as the chair, vice chair, and currently leads a campaign drive to benefit their unrestricted endowment. The non-profit aims to develop leadership and engage youth and diverse stakeholders to grow their endowment and leverage resources to make Stuart a vibrant, thriving, progressive community that maintains their enviable quality of life for future generations. They are helping their early childhood care and education center to become a reality and attract new residents to the area.</i></p> <p>This health and human services non-profit received a grant of \$1,000.</p> |  |
|  <p>Evan Vladem Associated Financial Consultants/ Osaic Wealth Nebraska</p> | <p>Opera Omaha, Inc.</p> <p><i>Evan has volunteered at the non-profit for a year, helping his family member who serves as a board member. The non-profit enriches the quality of life in the community by creating professional opera and music theater. This is achieved through main stage productions and community engagement programs that are free to the public.</i></p> <p>This cultural non-profit received a grant of \$625.</p> |  |
|  <p>Nick Shannon Prestige Wealth/Securities America Nebraska</p> | <p>Ronald McDonald House Charities Omaha</p> <p><i>Nick has volunteered on the Finance Committee and Investment Committee of the non-profit for two years. The non-profit strives to help improve the health and well-being of sick children by supporting them and their families with everything they need from housing to haircuts. They help them access care, reduce their financial burdens, provide psychosocial support to keep the families together, and help coordinate with their healthcare teams to enhance clinical experiences and outcomes.</i></p> <p>This health and human services non-profit received a grant of \$1,000.</p> |  |
|  <p>Dave Paulson Financial Builders, LLC/ Osaic Wealth Oklahoma</p> | <p>Harvest House</p> <p><i>Dave has volunteered with the non-profit for 18 years and is a current board member. The non-profit is a one-stop shop with solutions from their seven programs plus an interview tool designed to help discover more solutions to their household's most pressing issues and find a clear path out of poverty, being a true hand up and not a handout. The non-profit provides groceries, clothing, utility help, infant services, and vision care.</i></p> <p>This health and human services non-profit received a grant of \$500.</p> |  |

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|  <p>Allan Booher CUE Financial of Oklahoma/ Osaic Wealth Oklahoma</p> | <p>Luther Civic Club</p> <p><i>For 28 years, Allan has volunteered at the non-profit, and currently serves as the director. The club offers scholarships for graduating students in Luther, OK to attend college and awards teachers of the year from each school. They also provide senior citizens with weekly meals in the area.</i></p> <p>This civic association non-profit received a grant of \$1,000.</p> |  |
|  <p>J. J. Checki III Checki Financial/Securities America Texas</p> | <p>Amira's House</p> <p><i>J.J. has served as a board member for the non-profit for the past year. The non-profit provides comprehensive residential care and program services to young women facing an unplanned pregnancy, helping them to overcome the past, embrace the present, and prepare for the future. They transform the lives of young women and their children by providing discipleship and program services that break cycles of abuse and poverty and try to make a positive impact across generations.</i></p> <p>This health and human services non-profit received a grant of \$1,000.</p> |  |
|  <p>John Donovan Longhorn Wealth Management/Osaic Institutions Texas</p> | <p>Collin County Mental Health Retardation Center (LifePath Systems)</p> <p><i>John has volunteered with the non-profit for two years, serving on the Board of Trustees, Budget and Finance Committee, and Human Resources Committee. The non-profit works with people of all ages and backgrounds who need assistance with behavioral health, intellectual, or developmental disabilities. The organization is currently expanding to include a Diversion Center to provide an alternative for law enforcement to address the needs of people experiencing a behavioral health crisis.</i></p> <p>This health and human services non-profit received a grant of \$1,500.</p> |  |
|  <p>Kyle Sadler Precept Wealth Management/Securities America Texas</p> | <p>EMC Eels Swim Team</p> <p><i>Kyle has volunteered with the non-profit for five years and serves as the swim meet announcer, sponsor, and fundraiser. The non-profit's recreational swim program is the only one in the New Caney/Porter/Splendora area. Their program stresses individual development, team spirit, friendly competition, and most importantly, enjoying the sport of swimming. They provide a safe and friendly team atmosphere focused on good sportsmanship and teamwork. They also provide a water safety clinic at a low cost for children 4 – 6 years old, as well as a summer league program.</i></p> <p>This civic association non-profit received a grant of \$625.</p> |  |

| Nominator | Charity | Website |
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|  <p>Willie Howard Howard Investment Services/Securities America Texas</p> | <p>Fred Douglass Community Development Corporation (FDCDC)</p> <p><i>Willie serves as the president of the non-profit and has volunteered over 40 hours a month for 14 years. He oversees the board of directors and executive committee and is responsible for planning and executing events and projects. The FDCDC advances the social and economic well-being of low and moderate-income individuals and families around Jacksonville, Texas. The organization is building a community where children, youth, adults, and seniors can achieve their potential through education, financial stability, and overall healthy lives.</i></p> <p>This health and human services non-profit received a grant of \$825.</p> |  |
|  <p>Cory Procter Pro Capital Wealth Management/ Osaic Wealth Texas</p> | <p>Majesty Outdoors</p> <p><i>Cory has served as a board member for four years for the non-profit and participates in financial donations, introducing donors, providing donated items, and speaking engagements. The non-profit mentor fatherless youth and show the love of Jesus Christ by providing outdoor experiences and mentorship. They believe that spending time in nature and connecting with positive role models can have a transformative impact on young people's lives. They are dedicated to helping young people develop a sense of purpose, build strong character, and discover their unique talents and abilities.</i></p> <p>This health and human services non-profit received a grant of \$625.</p> |  |
|  <p>John Potts Hill Financial Group/Osaic Wealth Texas</p> | <p>National Assistance League (Assistance League Greater Collin County ALGCC)</p> <p><i>John and his wife/assistant, Cheryl, have volunteered for three years at the non-profit, providing support for various fundraising and service projects. The mission for the league is to transform the lives of children and adults through community programs, such as helping to clothe students referred by teachers and counselors and providing hygiene kits. They also help children with reading comprehension skills and supply school supply kits and provide financial aid for extracurriculars and lunches.</i></p> <p>This health and human services non-profit received a grant of \$1,500.</p> |  |

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|  <p>Kyle Sadler Precept Wealth Management/Securities America Texas</p> | <p>Proud Parents Buyers Group</p> <p><i>Kyle has volunteered with the non-profit for four years and assists in fundraising by contacting various business to participate in their livestock show and annual scholarships. He helps create the annual fundraiser livestock show, and is a partner buyer of the livestock show, and member of the planning committee. The non-profit is a philanthropic organization that transforms the lives of children and adults through community programs. They support the local 4-H and FFA kids, support those who participate in the Montgomery County Fair with their livestock and non-livestock projects, offer scholarships for their graduation seniors, and more.</i></p> <p>This civic association non-profit received a grant of \$625.</p> |  |
|  <p>Fernando Pohina Ascent Wealth Solutions/Osaic Wealth Texas</p> | <p>Texas Grandparents Raising Grandkids (TXGRG)</p> <p><i>Fernando has volunteered with the non-profit for three years by building out the giving strategies and marketing, as well as fundraising and event planning. The non-profit stands by and empowers all grandparents who have embraced the vital role of raising their grandchildren. They are dedicated to advocating for and supporting these resilient families by offering a comprehensive array of resources, vital information, and a strong support network. These services give every grandparent-grandchild relationship the opportunity to flourish and keep the children out of the foster care system.</i></p> <p>This health and human services non-profit received a grant of \$500.</p> |  |