



Schedule of fees for advisory services

Service fees	
Margin extensions	\$20.00/event
Outgoing transfer fee ¹	\$150.00
Voluntary cash tenders	\$50.00/event
Mandatory tenders ¹	\$10.00/event
Returned checks/ACH	\$20.00/event
Confirmation surcharge	\$1.50/confirmation
Wired funds	\$25.00/wire
Overnight (business day)	\$12.00
Saturday delivery	\$12.00
<ul style="list-style-type: none">IRA termination fee	\$95.00

Annual fee	
Inactive accounts — equity ¹	\$50.00/year

Fees are subject to change at any time.

¹ Osaic Institutions, Inc. adds an additional amount (“markup”) on the fee imposed by Pershing.

² A fee assessed by Osaic Institutions, Inc. and not Pershing.

This schedule represents Osaic Institution’s standard fees and expenses. Please note other fees may apply. Depending upon the circumstances, individual advisors may have the ability to negotiate lower fees and expenses for their clients.

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