Thought Leadership

The Wealth Management Industry Award for Thought Leadership honors a new initiative, program, platform or industry content that will move the industry forward. Initiatives include areas such as diversity programs, women's initiatives, next generation of advisors, and succession planning solutions. Applications are submitted for the respective firms to be considered for the awards. Submissions are reviewed by a panel of independent judges with required industry experience, looking at the applicant's innovation, scope and impact. Firms do not pay a fee to be nominated or selected for this award.

Digital Marketing Campaign of the Year

The Digital Marketing Campaign of the Year award issued by Wealthmanagement.com on 9/10/2026 for the previous 18 months was awarded to Dealers 1,000 Advisors or More for a new marketing, lead generation, nurturing or brand building campaign, or enhancement to an existing campaign; that leverages integrated digital channels, including social media, to deliver the firm's message with compelling call to action. The financial professional was nominated for the recognition. Criteria used to determine the winner(s) includes direct business results such as leads generated, new clients converted, incremental revenues booked, enhanced closing rates, increased share of existing client wallet; as well as subjective measures of creativity, imagination and new ways to leverage digital channels to deliver a compelling marketing message. xx people were considered for this honor and xx% were selected. No fee was paid for the nomination, receipt, or promotion of the award. Third-party ratings are no guarantee of future investment success and do not ensure that a client or prospective client will experience a higher level of performance or results. These ratings should not be construed as an endorsement of the advisor by any client nor are they representative of any one client's evaluation.

Transition Support

The Transition Support award issued by Wealthmanagement.com on 9/10/2026 for the previous 18 months was awarded to Brokers-Dealers 1,000 Advisors or More for a new initiative, program or enhancement to an existing Transition platform that helps BDs onboard new advisors. Initiatives can include areas such as dedicated professionals, easy to use transition tools/resources, process improvements, speed of onboarding and client communications. The financial professional was nominated for the recognition. Criteria used to determine the winner(s) includes both quantitative measures such as specific support levels, scope, scale, advisor/client survey scores, and usage as well as qualitative measures such as innovation, creativity, and new methods of delivery. xx people were considered for this honor and xx% were selected. No fee was paid for the nomination, receipt, or promotion of the award. Third-party ratings are no guarantee of future investment success and do not ensure that a client or prospective client will experience a higher level of performance or results. These ratings should not be construed as an endorsement of the advisor by any client nor are they representative of any one client's evaluation.

Technology

The Technology award issued by Wealthmanagement.com on 9/10/2026 for the previous 18 months was awarded to Brokers-Dealers 1,000 Advisors or More for a new initiative, program, or enhancement to an existing platform that helps B/D advisors to be more efficient and enhances the advisor and client experience through technology. Initiatives can include areas such as new cloud platforms, mobile apps, driving adoption of existing technologies, streamlining various processes, integrating multiple systems, user interface improvements, process speed improvements, ease of use, new capabilities, new features and customizations. The financial professional was nominated for the recognition. Criteria used to determine the winner(s) includes quantitative measures such as scope, scale, adoption, usage, feature set; as well as qualitative measures such as innovation, creativity, and new methods of delivery. xx people were considered for this honor and xx% were selected. No fee was paid for the nomination, receipt, or promotion of the award OR No fee was paid for the nomination or receipt of the award; however $xx was paid for [fill in the blank i.e. plaque or ad]. Third-party ratings are no guarantee of future investment success and do not ensure that a client or prospective client will experience a higher level of performance or results. These ratings should not be construed as an endorsement of the advisor by any client nor are they representative of any one client's evaluation.

Independent, Integrated Growth Platforms

The Independent, Integrated Growth Platforms award issued by Wealthmanagement.com on 9/10/26 for the previous 18 months was awarded for a new initiative, product, program, platform, or enhancement to an existing platform/product that provides independent RIAs and/or broker-dealer, representatives with a full-suite of business, technology, marketing, compliance and practice management resources designed to provide scale, convenience, increase capacity, drive business value, and provide cost-savings to run better businesses. Initiatives can include areas such as new technology applications, practice management programs, marketing tools, advisor educational materials, industry events, wealth management products and services, community, connections, and succession planning resources. The financial professional was nominated for the recognition. Criteria used to determine the winner(s) includes both quantitative measures such as specific feature set, AUM goals, usage, adoption, scope, scale, and advisor survey scores; as well as qualitative measures such as innovation, creativity, and new methods of deployment. xx people were considered for this honor and xx% were selected. No fee was paid for the nomination, receipt, or promotion of the award. Third-party ratings are no guarantee of future investment success and do not ensure that a client or prospective client will experience a higher level of performance or results. These ratings should not be construed as an endorsement of the advisor by any client nor are they representative of any one client's evaluation.

Chief Executive Officer of the Year

The Chief Executive Officer of the Year award issued by Wealthmanagement.com on 9/10/26 for the previous 18 months was awarded to an individual chief executive officer (CEO) of an [Asset Managers/Broker-Dealer/Technology Providers] who has demonstrated vision, creativity, integrity, leadership, communication skills, technology insight and high performance in leading in all aspects of a wealth management technology provider that helps advisors succeed. The financial professional was nominated for the recognition. Criteria used to determine the winner(s) includes demonstrable impact on their firm, the industry, and wealth management in general; external benchmarks such as business excellence, revenues, profitability, business growth, thought leadership content, industry activism; as well as a strong track record of industry respect, notoriety and promoting diversity. xx people were considered for this honor and xx% were selected. No fee was paid for the nomination, receipt, or promotion of the award. Third-party ratings are no guarantee of future investment success and do not ensure that a client or prospective client will experience a higher level of performance or results. These ratings should not be construed as an endorsement of the advisor by any client nor are they representative of any one client's evaluation.