



InvestmentNews Excellence Awardees Disclosures

Advisor of the Year

InvestmentNews Advisor of the Year Excellence Awardees are selected through open nominations with the following criteria considered: Knowledge, understanding and communication of alternative investment opportunities and strategies for their clients' portfolios; Client retention and relationship strategies, and how it sets the advisor apart from competition within alternative investments; Examples of ways that the advisor is educating the industry/clientele on alternative. Exemplary business development strategies to prospect and retain clients in innovative ways, and Approach to regulatory compliance. There is no fee to nominate. The award is not indicative of the advisor's future performance.

Osaic NextGen Advisor of the Year

InvestmentNews Osaic NextGen Advisor of the Year Excellence Awardees are selected through open nominations with the following criteria considered: Must have been accredited as an advisor (must be registered with a regulator) on or after January 1, 2022; Knowledge, understanding and communication of investment opportunities and strategies for their clients' portfolios; Client retention and relationship strategies, and how it sets the advisor apart from competition with a unique value proposition; Examples of ways that the advisor has established themselves with their clients and peers in a short period of time, and Approach to regulatory compliance. Osaic, Inc. has paid a fee to sponsor this award and is independent of selection of awardees. There is no fee to nominate. The award is not indicative of the advisor's future performance.

Broker-Dealer/Custodian of the Year

InvestmentNews Broker-Dealer/Custodian of the Year Excellence Awardees are selected through open nominations with the following criteria considered: Employee value proposition (business development support, marketing support, education, training, philanthropy); Overall client service and relationship management; Unique business plan to attract and retain advisor talent (i.e., recruitment strategy); Spirit of innovation with use of wealth technology and digital platforms/tools, and Industry reputation. There is no fee to nominate. The award is not indicative of the advisor's future performance.

Securities and investment advisory services are offered through the firms: Osaic Wealth, Inc. and Osaic Institutions, Inc., broker-dealers, registered investment advisers, and members of FINRA and SIPC. Securities are offered through Osaic Services, Inc. and Ladenburg Thalmann & Co., broker-dealers and members of FINRA and SIPC. Advisory services are offered through Ladenburg Thalmann Asset Management, Inc., Osaic Advisory Services, LLC. and CW Advisors, LLC., registered investment advisers. Advisory programs offered by Osaic Wealth, Inc. are sponsored by VISION2020 Wealth Management Corp., an affiliated registered investment adviser.